



# DME



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<b>Date</b>	21 October 2015
<b>CP No.</b>	15-002
<b>Category</b>	Compliance
<b>Proposal</b>	Changes to Disciplinary Rules

This Consultation Paper (“CP”) has been issued to provide market participants with an opportunity to comment on certain changes to the Disciplinary Rules in Chapter 7 of the DME Rulebook.

### **Application of the CP 15-002**

CP 15-002 is directed primarily at current and prospective DME Members and Customers, but DME welcomes comments from any other interested parties.

### **Overview of Changes**

The DME is proposing to make certain changes to the Disciplinary Rules in Chapter 7. These changes are intended to provide the Exchange’s Compliance Department additional discretion in handling those offences considered “Minor Offences” pursuant to Rule 7.5. The revisions would allow the Exchange’s Chief Compliance Officer / Head of Compliance to dispense with certain Minor Offences without requiring the involvement of the DME Disciplinary Committee. Such decisions may be appealed to the Disciplinary Committee, as provided in the Rulebook

A complete markup of the relevant rules can be accessed at the following link:

[DME Rules – Chapter 7 CP15-002](#)

### **How to provide comments**

The deadline for providing comments on this Consultation Paper is thirty (30) calendar days from publication. For the avoidance of doubt, all comments must be received by the Exchange on or before November 20, 2015 at 6:00 PM in Dubai. All comments on the proposed changes should be sent by email to the DME Compliance Department at

[compliance@dubaimerc.com](mailto:compliance@dubaimerc.com)

### **Next steps**

Unless specifically requested otherwise, DME may publish any comments received on its website.

As soon as practicable following the deadline for comments on the proposals in this Consultation Paper, DME will consider whether any amendment or revision to the proposed changes is appropriate. DME will then implement the change(s) if any.